Questions to Ask a Potential Advisor

1. How often do you speak with your clients?
2. What type of clients do you specialize in working with?
3. What makes your client experience unique?
4. How will my portfolio be tailored to fit my risk tolerance?
5. How often will you evaluate my situation and make necessary changes?
6. What criteria do you use to select investments?
7. What strategies do you employ to limit taxes?
8. What services do you offer beyond investment management?
9. Do you provide a comprehensive written analysis of my financial situation and recommendations?
10. How is the firm compensated? Do you charge for financial planning?
11. How does the fiduciary standard affect our relationship?
12. How can I keep track of my spending and work on controlling it by myself?
13. How much cash do I need to have set aside? How much of my money should be invested?
14. How will you work with other advisors I may already have? (accountants, lawyers, etc)
15. What will the firm do to protect my privacy and identity?

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